

# Liquid Capital Statement

for the month of 30 - JUN - 17  
of M/s. Muhammed Tariq Moti Sec. (Pvt.) Ltd.

Submission Date <Not Submitted>

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S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
1	<b>Assets</b>			
1.1	<b>Property &amp; Equipment</b>	+ 39301 274,656	274,656	
1.2	Intangible Assets			
1.3	Investment in Govt. Securities	- 9,926,170	9,926,170	
1.4	<b>Investment in Debt. Securities</b>			
	If listed than:			
	i. 5% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years.			
	iii. 10% of the balance sheet value, in the case of tenure of more than 3 years.			
	If unlisted than:			
	i. 10% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 12.5% of the balance sheet value, in the case of tenure from 1-3 years.			
	iii. 15% of the balance sheet value, in the case of tenure of more than 3 years.			
1.5	<b>Investment in Equity Securities</b>			
	i. If listed 15% or VaR of each securities on the cutoff date as computed by the Securities Exchange for respective securities whichever is higher.	✓ 26,542,365	3,981,355	22,561,010
	ii. If unlisted, 100% of carrying value.	24,044,300	24,044,300	
	iii. Subscription money against Investment in IPO/offer for Sale: Amount paid as subscription money provided that shares have not been allotted or are not included in the investments of securities broker.			
1.6	Investment in subsidiaries			
1.7	<b>Investment in associated companies/undertaking</b>			
	i. If listed 20% or VaR of each securities as computed by the Securities Exchange for respective securities whichever is higher.			
	ii. If unlisted, 100% of net value.			
1.8	Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository or any other entity.	600,000	600,000	
1.9	Margin deposits with exchange and clearing house.			
1.10	Deposit with authorized intermediary against borrowed securities under SLB.			
1.11	Other deposits and prepayments			
1.12	Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities etc. (Nil)			
	100% in respect of markup accrued on loans to directors, subsidiaries and other related parties			
1.13	Dividends receivables.			
1.14	Amounts receivable against Repo financing. Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.)			
1.15	<b>i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months</b>			
	ii. Receivables other than trade receivables	22276614 4,743,503	4,743,503	
1.16	<b>Receivables from clearing house or securities exchange(s)</b>			
	i. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains.			
	ii. Receivable on entitlements against trading of securities in all markets including MtM gains.			
1.17	<b>Receivables from customers</b>			
	i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VaR based haircut.			
	i. Lower of net balance sheet value or value determined through adjustments.			
	ii. In case receivables are against margin trading, 5% of the net balance sheet value.			
	ii. Net amount after deducting haircut			
	iii. In case receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,			
	iii. Net amount after deducting haircut	5677 248,783	0	248,783
	iv. In case of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.			
	iv. Balance sheet value	5,735,789	5,735,789	5,735,789
	v. In case of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VaR based haircuts.			
	vi. 100% haircut in the case of amount receivable from related parties.	1091307		
1.18	<b>Cash and Bank balances</b>			
	i. Bank Balance - proprietary accounts	10,165,248	0	10,165,248
	ii. Bank balance - customer accounts	11,325,600	0	11,325,600
	iii. Cash in hand	93,606,414	49,305,773	50,036,430
1.19	<b>Total Assets</b>			
2	<b>Liabilities</b>			
2.1	<b>Trade Payables</b>			
	i. Payable to exchanges and clearing house			
	ii. Payable against leveraged market products	11,325,600	0	11,325,600
	iii. Payable to customers			



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S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
2.2	<b>Current Liabilities</b>			
	i. Statutory and regulatory dues			
	ii. Accruals and other payables			
	iii. Short-term borrowings	937,519	0	937,519
	iv. Current portion of subordinated loans			
	v. Current portion of long term liabilities			
	vi. Deferred Liabilities			
	vii. Provision for bad debts			
	viii. Provision for taxation			
	ix. Other liabilities as per accounting principles and included in the financial statements			
2.3	<b>Non-Current Liabilities</b>			
	i. Long-Term financing			
	a. Long-Term financing obtained from financial instituion: Long term portion of financing obtained from a financial institution including amount due against finance lease			
	b. Other long-term financing			
	ii. Staff retirement benefits			
	iii. Advance against shares for increase in Capital of Securities broker: 100% haircut may be allowed in respect of advance against shares if:			
	a. The existing authorized share capital allows the proposed enhanced share capital			
	b. Board of Directors of the company has approved the increase in capital			
	c. Relevant Regulatory approvals have been obtained			
	d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed.			
	e. Auditor is satisfied that such advance is against the increase of capital.			
	iv. Other liabilities as per accounting principles and included in the financial statements			
2.4	<b>Subordinated Loans</b>			
	i. 100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted: The Schedule III provides that 100% haircut will be allowed against subordinated Loans which fulfill the conditions specified by SECP. In this regard, following conditions are specified:			
	a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period			
	b. No haircut will be allowed against short term portion which is repayable within next 12 months.			
	c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be submitted to exchange.			
	ii. Subordinated loans which do not fulfill the conditions specified by SECP			
2.5	<b>Total Liabilites</b>	12,263,119	0	12,263,119
3	<b>Ranking Liabilities Relating to :</b>			
3.1	<b>Concentration in Margin Financing</b> The amount calculated client-to-client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees.			
3.2	<b>Concentration in securites lending and borrowing</b> The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed			
3.3	<b>Net underwriting Commitments</b> (a) in the case of right issue : if the market value of securites is less than or equal to the subscription price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issue where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting (b) in any other case : 12.5% of the net underwriting commitments			
3.4	<b>Negative equity of subsidiary</b> The amount by which the total assets of the subsidiary ( excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary			
3.5	<b>Foreign exchange agreements and foreign currency positions</b> 5% of the net position in foreign currency. Net position in foreign currency means the difference of total assets denominated in foreign cuurrency less total liabilities denominated in foreign currency			
3.6	<b>Amount Payable under REPO</b>			
3.7	<b>Repo adjustment</b> In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securites. In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received, less value of any securities deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser.			
3.8	<b>Concentrated proprietary positions</b>			



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	If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security .If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security	373,513	355,958	355,958
3.9	<b>Opening Positions in futures and options</b> i. In case of customer positions, the total margin requirements in respect of open positions less the amount of cash deposited by the customer and the value of securities held as collateral/ pledged with securities exchange after applying VaR haircuts ii. In case of proprietary positions, the total margin requirements in respect of open positions to the extent not already met		373,513	17,559
3.10	<b>Short sell positions</b> i. In case of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts ii. In case of proprietary positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.			
3.11	<b>Total Ranking Liabilities</b>	373,513	355,958	355,958
3.12	<b>Liquid Capital</b>	80,969,782	48,949,815	37,417,353

08 01	LONG TERM INVESTMENTS			
08 01 001	LONG TERM INVESTMENTS			
08 01 002	KSE READY TO TRADE	70,000.00		
08 01 003	CENTRAL DEPOSIT	160,000.00		
08 01 004	NATIONAL CLEARING COMPANY	70,000.00		
08 01 005	PAKISTAN DEPOSIT	30,000.00		
	<b>TOTAL (08-01)</b>	<b>350,000.00</b>		
09 01	CURRENT ASSETS			
09 01 001	CURRENT ASSETS			
09 01 001	CLIENT BALANCES			1,241,029.00
09 01 002	ADV. TAX PTR. ON PURCHASE	1,078,429.00		
09 01 003	ADV. TAX PTR. ON SALE	1,007,882.00		
	<b>TOTAL (09-01)</b>			<b>2,317,340.00</b>
09 02	CLEARING HOUSE & N.C.C.P.L			
09 02 001	CLEARING HOUSE (MARCH STOCK EXCHANGE)	1,254.00		
09 02 002	NATIONAL CLEARING COMPANY OF PAKISTAN	2.00		
	<b>TOTAL (09-02)</b>	<b>1,256.00</b>		
09 04	ADVANCE INCOME TAXES			
09 04 001	PSX TAX ON DIVIDEND			120,230.00
09 04 002	ADVANCE INCOME TAX	408,013.00		
	<b>TOTAL (09-04)</b>	<b>408,013.00</b>		
09 05	CASH & BANK BALANCE			
09 05 001	HABIB METRO BANK LTD A/C 135600	10,190,248.00		
09 05 002	HABIB METRO BANK LTD CLIENT A/C 135600	11,325,600.00		
	<b>TOTAL (09-05)</b>	<b>21,515,848.00</b>		
09 10	OTHER RECEIVABLES			
09 10 001	OTHER RECEIVABLES	87,352.00		



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**MUHAMMAD TARIQ MOTI SECURITIES (PVT) LTD.**  
**CLIENTS AGING AS ON 30-06-2017 (SECURITIES VALUE @ MARKET)**  
**ALL CLIENT - RECEIVABLES**

**SUMMARY**

CATEGORY	NOS.	BALANCE	1 - 14	ABOVE - 14	SEC. VALUES	ALLOWED
CORPORATE	1	239,472.75	239,472.75	0.00	0.00	0.00
INDIVIDUAL	20	5,745,098.58	2,224,564.99	3,520,533.59	40,538,832.24	1,620,753.98
<b>TOTAL</b>	<b>21</b>	<b>5,984,571.33</b>	<b>2,464,037.74</b>	<b>3,520,533.59</b>	<b>40,538,832.24</b>	<b>1,620,753.98</b>

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**MUHAMMAD TARIQ MOTI SECURITIES (PVT) LTD.**  
**CLIENTS AGING AS ON 30-06-2017 (SECURITIES VALUE @ MARKET)**  
**ALL CLIENT - PAYABLES**

**SUMMARY**

CATEGORY	NOS.	BALANCE	1 - 30	ABOVE - 30
CORPORATE	2	17,016.65	3,698.21	13,318.44
INDIVIDUAL	71	11,308,583.70	9,197,303.12	2,111,280.58
<b>TOTAL</b>	<b>73</b>	<b>11,325,600.35</b>	<b>9,201,001.33</b>	<b>2,124,599.02</b>